9.0 Post Award Financial Process Focus Team

Scope: To determine and prioritize functional requirements for the Post-Award Financial process. Also to identify management issues and recommend interfaces and screens.

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Primary Requirements:

For Mods
- Limit performance by vendor to original scope of contract until mod is finalized.
- Track occurrences where vendor has been allowed to perform beyond contract terms and take corrective action.
- Associate commitments with the period in which performance is expected to occur.
- Reduce commitments to zero as performance is completed.
- For post-award audits, estimate contingent liability and modify PO to reflect that amount as the commitment.

For Account Code Changes
- Must have the ability to associate individual items with unique account codes.
- Splits must be used ONLY when the split is below the individual item level (i.e., two organizations share the cost of one item).
- Requester/Budget Analyst may be able to correct PC/CA/WP, but *only* the Budget Analyst should be able to correct cost centers.
- Budget Analyst should be able to approve all corrections before they are transmitted to BUS-1.
- System should notify the Budget Analyst associated with the receiving cost center or program code by E-mail.
- If the line number is left blank, all items matching the account code should be recoded.
- Reference number of correction should be passed to GL reference field.

Interfaces:

Management Issues:

- The recording and reporting of commitments should be defined relative to DOE requirements.
- Contract ceilings and penalty clauses should be separately identified.
- Laboratory should determine if reporting commitments for the next fiscal year only meets DOE requirements, to avoid unnecessary contract writedowns at end of each year.
- Feeding time-phased commitment data into forecasting system should be considered.
- Care should be taken to *report* external commitments only.